

AGSA Scheme conflicts of interest risk assessment - matrix

How to use this framework

Read each criterion below and determine whether your interest would be low, medium or high risk. Score each response according to the risk, with 1 point for low risk, 2 points for medium risk or 3 points for high risk. Add the points together to determine your overall risk rating, noting that low risk is 6 points or less, medium risk is a score of 7–12 points, and high risk is 13 points and over. Use your overall risk rating to determine the appropriate management strategies to adopt from the Accredited Grain Surveyor Assurance (AGSA) Scheme conflicts of interest risk assessment – management strategies table.

Criteria	Low risk (1 point)	Medium risk (2 points)	High risk (3 points)
Nature of the conflict The type of interest or relationships involved (e.g. financial, personal, professional)	Minor financial interest, e.g. small number of shares in a non-related company. Insignificant personal or professional association.	Moderate financial interest, e.g. substantial number of shares in a related company. Professional relationships, e.g. influential involvement in shipping industry networks or advisory roles.	Significant financial interest, e.g. owner or part owner of related company. Personal associations with individuals who could compromise impartiality, e.g. close relationships with criminals.
Impact on decision-making The potential effect of the conflict on your decisions and outcomes	The conflict has minimal or no effect on your decision-making or outcomes.	The conflict could potentially influence your decisions or outcomes but is manageable or mitigated.	The conflict has a significant and likely impact on your decision-making or outcomes, potentially altering the fairness or integrity of decisions.
Duration The length of time the conflict is present or expected to be present	The conflict is short-term or temporary.	The conflict is present for a medium duration, i.e. 6–12 months or could be expected to recur intermittently.	The conflict is long-term, more than 12 months or permanent, potentially influencing your decisions over an extended period.

Criteria	Low risk (1 point)	Medium risk (2 points)	High risk (3 points)
Degree of influence The extent to which the conflict affects your ability to act impartially; also consider your level of seniority in the context of your influence	You have minimal influence or seniority, and the conflict has a limited impact on your impartiality.	You have moderate influence or seniority, and the conflict has a moderate impact on your impartiality.	You are in a senior position or have significant influence, and the conflict greatly affects your ability to act impartially.
Compliance and disclosure The extent to which the conflict has been disclosed and managed according to the AGSA Scheme conflicts of interest guidance note	The conflict is fully compliant with the AGSA Scheme conflicts of interest guidance note and properly disclosed.	The conflict is partially compliant or disclosed but may have gaps in management.	The conflict is non-compliant with the AGSA Scheme conflicts of interest guidance note or not disclosed, creating potential for significant issues.
Overall risk – add points together	Low ≤6 points	Medium 7–12 points	High ≥ 13 points